

# Immunotherapy – next challenges of clinical drug development

Immunotherapy of Cancer Conference (ITOC-6)

Vienna, Austria

April 11, 2019

# Spectrum of PD-1/PD-L1 Antagonist Activity

## Approved

- **Melanoma**
- **Renal cancer (clear cell)**
- **NSCLC – adenocarcinoma and squamous cell**
- **Head and neck cancer**
- **MMR-repair deficient tumors (colon, cholangiocarcinoma)**
- **Bladder**
- **Hodgkin lymphoma**
- **Merkel cell**
- **Gastric and gastroesophageal junction**
- **Hepatocellular carcinoma**
- **Squamous Cell Ca of Skin**
- **Small cell lung cancer**
- **Refractory primary mediastinal large B-cell lymphoma (PMBCL)**
- **Triple negative breast cancer**

## Approved Anti-PD-1 agents

- Nivolumab, Pembrolizumab, Cemiplimab

## Approved Anti-PD-L1 agents

- Atezolizumab, Durvalumab, Avelumab

## Active:

- Basal Cell Carcinoma
- Renal (non-clear cell)
- Ovarian
- Thymoma
- Mesothelioma
- Cervical Cancer
- Diffuse large cell lymphoma
- Follicular lymphoma
- T-cell lymphoma (cutaneous T-cell lymphomas, peripheral T-cell lymphoma)
- Prostate cancer (with ipilimumab)
- Subsets of sarcoma

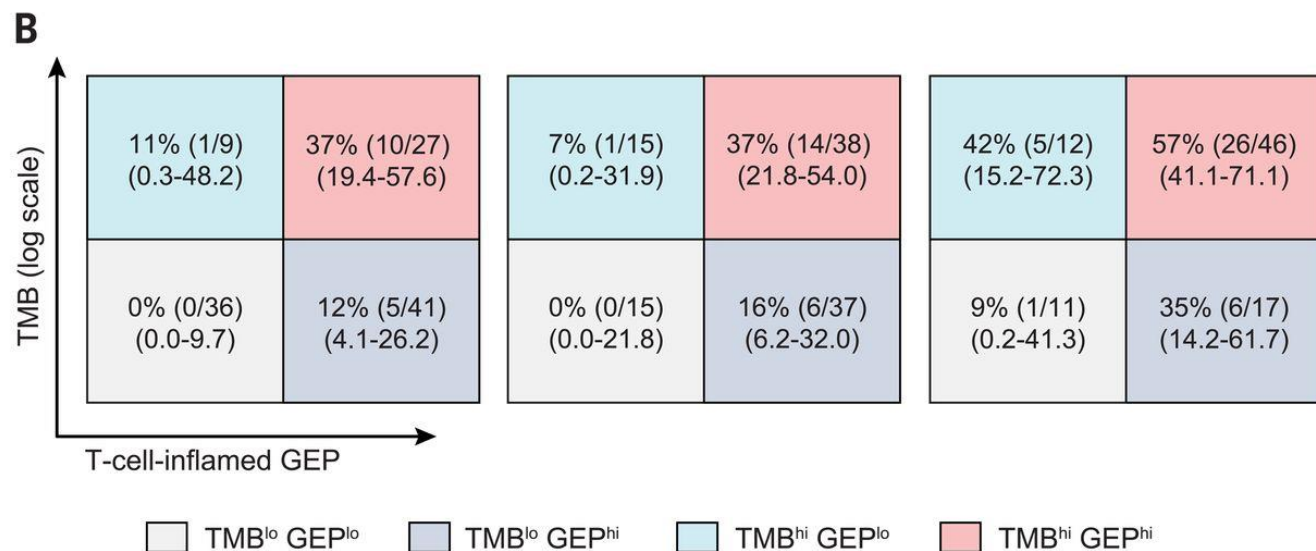
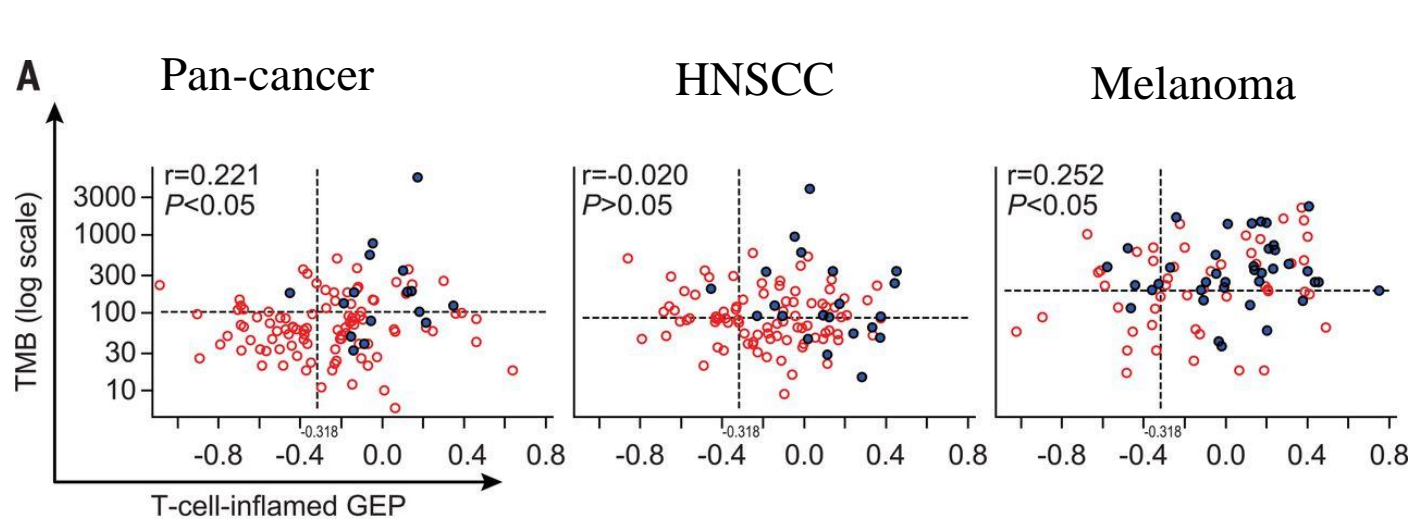
## Minimal to no activity

- MMR+ (MSS) colon cancer
- Myeloma
- Pancreatic cancer

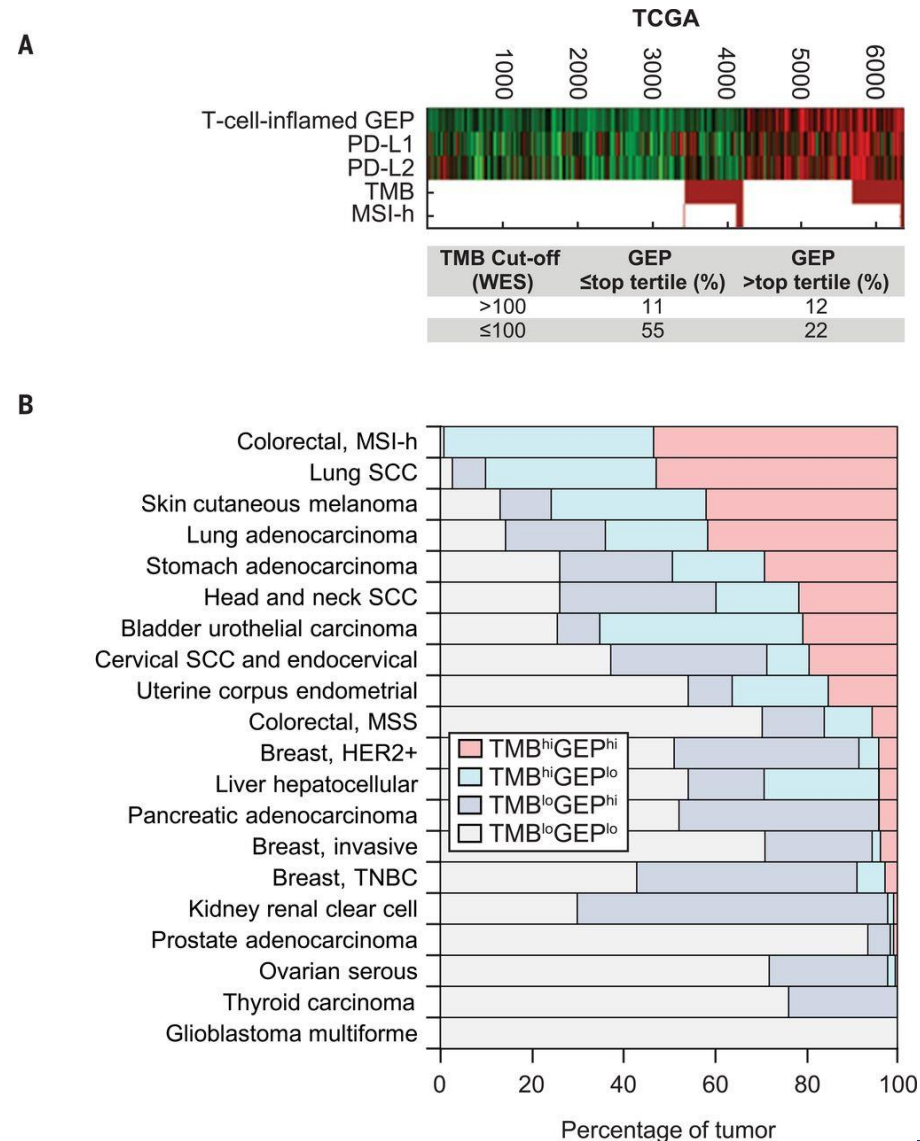
# Predictors for Clinical Response to Anti-PD-1/PD-L1 pathway blockade

- **PD-L1 expression – (tumor, tumor-infiltrating immune cells)**
    - Proximity of PD-L1 to PD-1 (IDO/HLA-DR) – (Clin Cancer Res. 2018 Nov 1;24(21):5250-5260)
  - **Presence of interferon-gamma (or T-effector) gene signature**
  - **High tumor mutation burden (DNA sequencing or RNA-seq, dMMR, MSI-high)**
- 
- **Assessment of T cells (CD8+) in tumor microenvironment**
    - Number of CD8+ T cells at tumor invasive margin (Nature. 2014 Nov 27; 515(7528): 568–571).
    - Presence of stromal CD8+ T cells
    - Increased clonality of intra-tumoral T-cells (Nature. 2014 Nov 27; 515(7528): 568–571).
    - Presence of certain subsets of CD8+ T-cells
      - Percent intra-tumoral CTLA4+PD-1+ CD8+ T-cells (JCI Insight. 2017 Jul 20;2(14))
      - *CD8+ PD-1+TIM3- TCF1+CD28+?* (proposed) (Science. 2017 Mar 31;355(6332):1423-1427.)
      - *Ratio of TCF7+/TCF7- T cells* (Cell. 2019 Jan 10;176(1-2):404.)
    - Functional properties
      - Polyfunctionality (cytokine production) of intra-tumoral T-cells? (Nat Med 2011; 17:738-43)
      - Presence of quiescent T-cells (Ki-67, granzyme negative)

# Joint relationship of TMB or T cell-inflamed GEP with anti-PD-1 response across multiple patient cohorts.

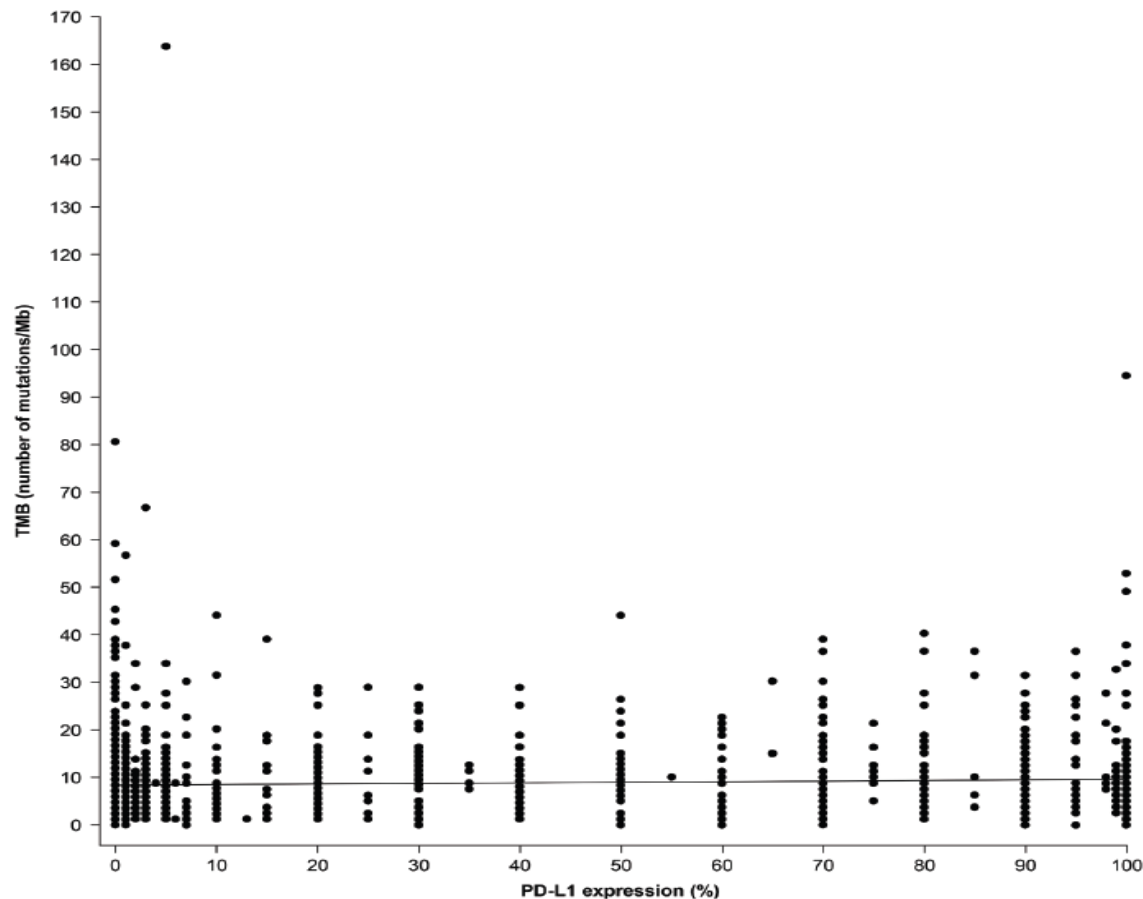


Razvan Cristescu et al. Science 2018;362:eaar3593

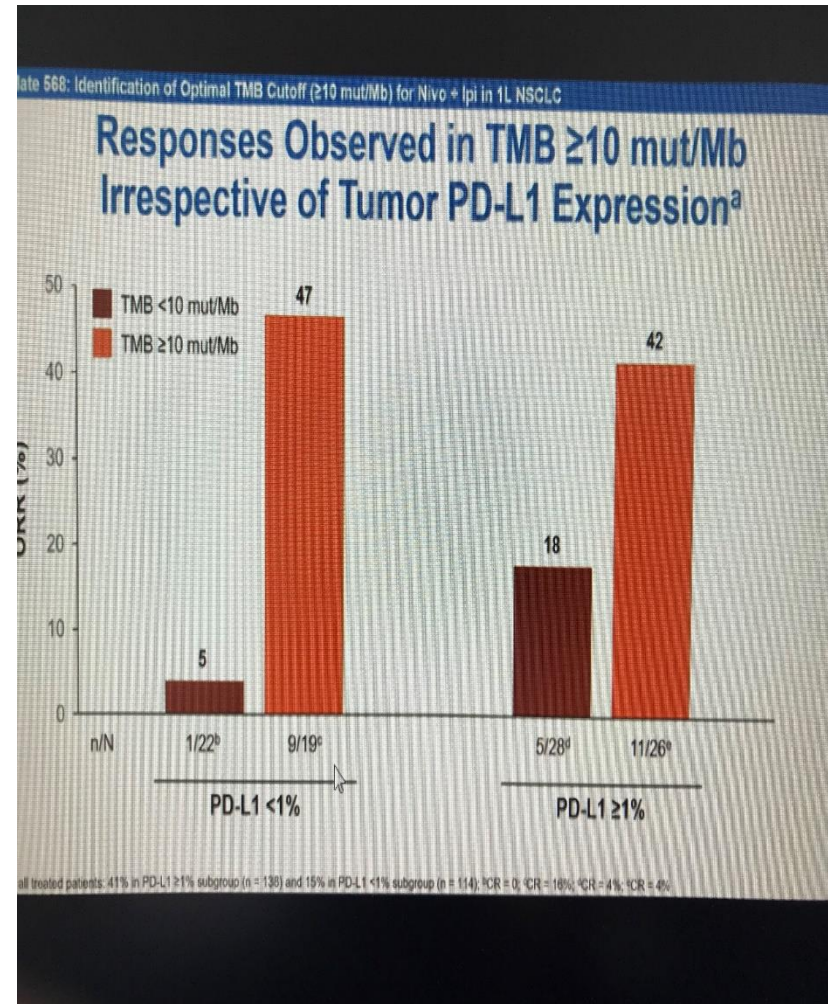


## For Ipilimumab/Nivolumab in NSCLC, TMB and PD-L1 Identify Different Populations

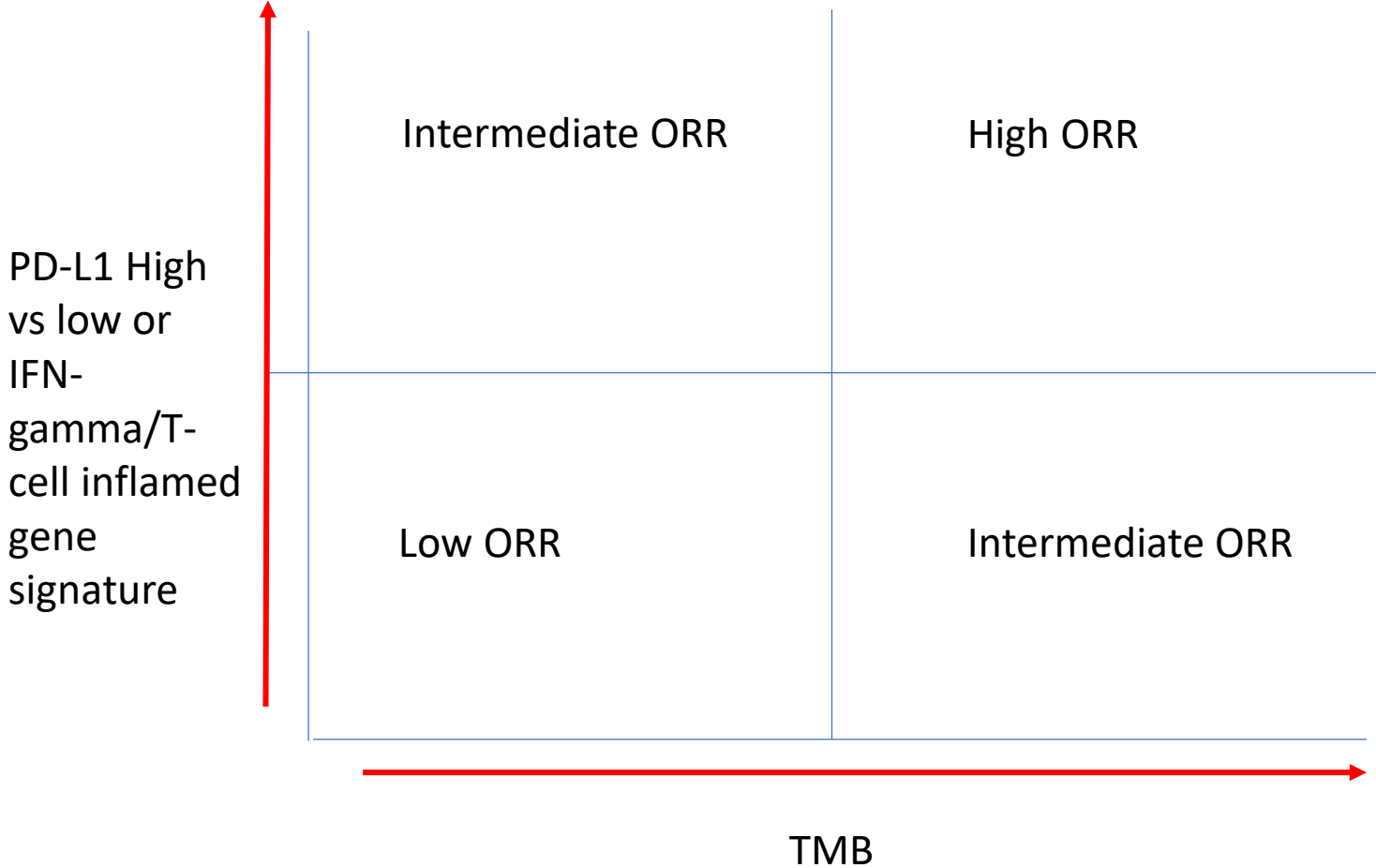
**Figure S2. Scatterplot of TMB and PD-L1 Expression in All TMB-Evaluable Patients<sup>a</sup>**



<sup>a</sup>Symbols (dots) in the scatterplot may represent multiple data points, especially for patients with <1% tumor PD-L1 expression. The black line shows the relationship between TMB and PD-L1 expression as described by a linear regression model.



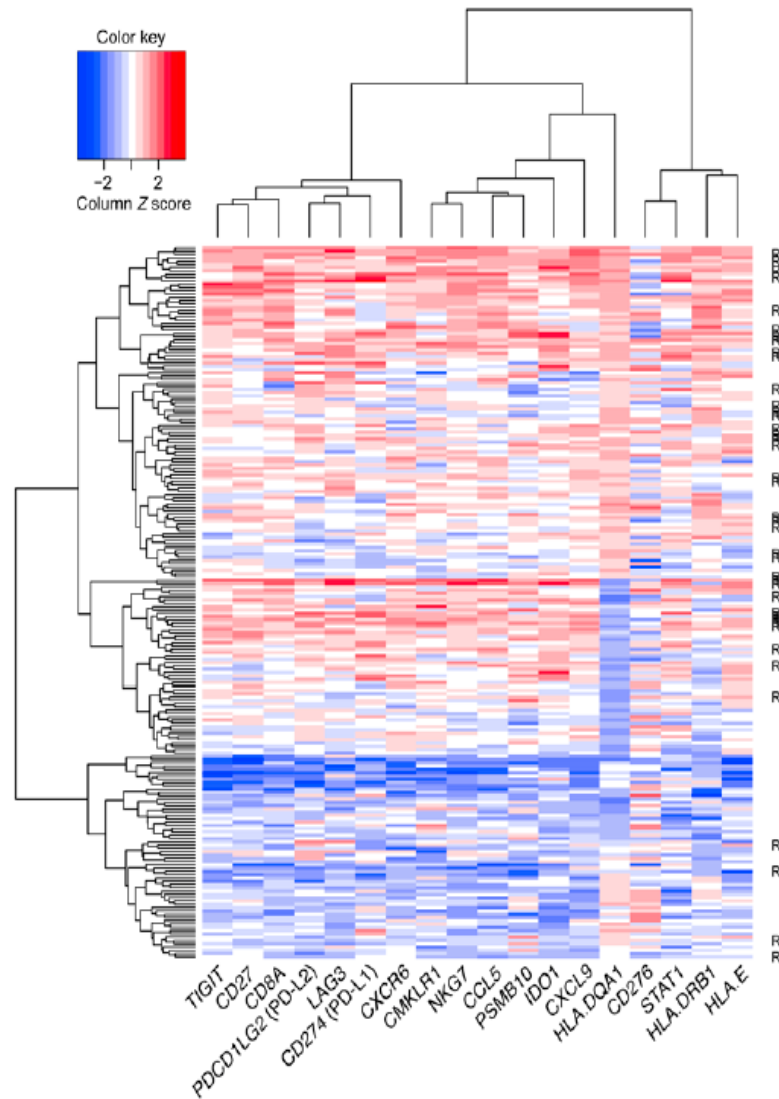
# Combination Biomarkers May Provide Better Predictive Value



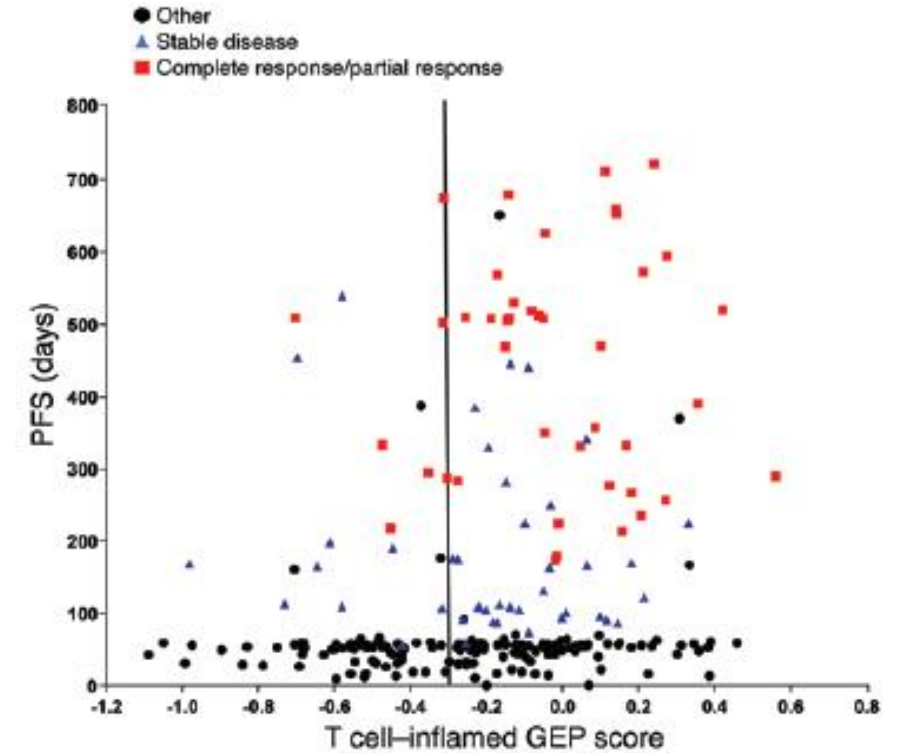
# Inflamed T cell mRNA signature Identifies Most Objective Responders

The Journal of Clinical Investigation

RESEARCH ARTICLE



**Figure 4.** Heatmap for the final 18-gene T cell-inflamed GEP for 216 tumors from patients in KEYNOTE-012 and KEYNOTE-028 considered evaluable for objective response. Rows represent patients and columns genes. Expression levels have been standardized (centered and scaled) within columns for visualization. The “R” on the right side indicates whether the patient was a responder (by central imaging vendor in KEYNOTE-012 and by investigator assessment in KEYNOTE-028). The rows and columns have been grouped using unsupervised clustering.



**Figure 7.** PFS time versus T cell-inflamed GEP score in 244 patients from KEYNOTE-012 and KEYNOTE-028 for the 9 cancer cohorts used to determine the T cell-inflamed GEP.

Mark Ayers, ... , Antoni Ribas, Terrill K. McClanahan

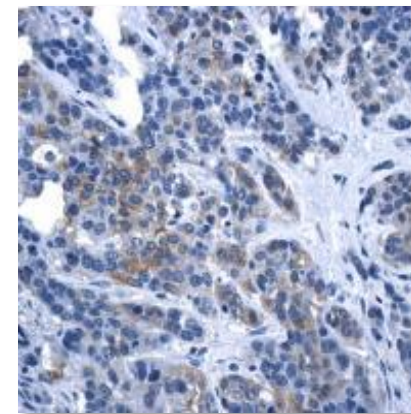
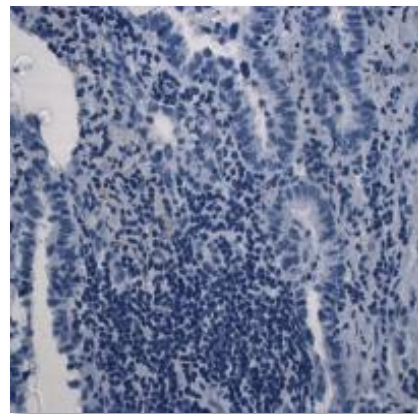
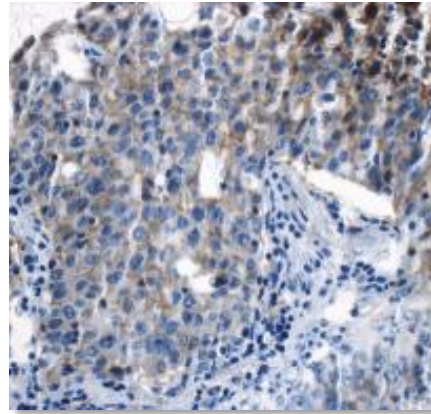
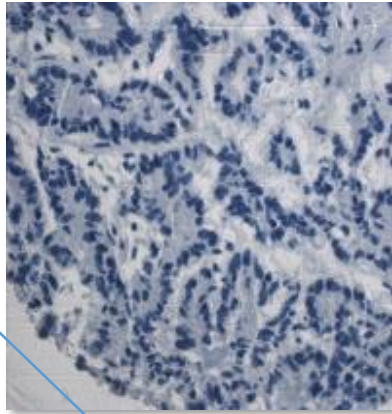
## Presence of PD-L1 or TILs<sup>1</sup>

PD-L1-/TIL-

PD-L1+/TIL+

PD-L1-/TIL+

PD-L1+/TIL-



NSCLC

45%  
Type 1  
45%

17%  
Type 2  
41%

26%  
Type 3  
13%

12%  
Type 4  
1%

Schalper and Rimm,  
Yale University

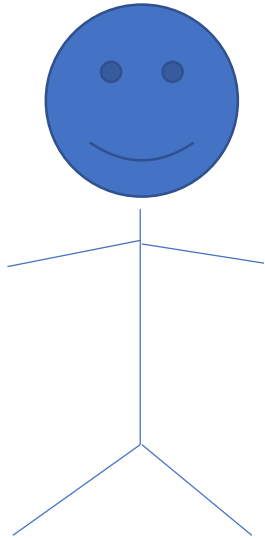
**Table 2.** Correlation of B7-H1 expression by melanocytes with the presence of immune cell infiltration.

Histology	Total	Number of cases/total cases (%)				P*
		B7-H1 <sup>++</sup>		B7-H1 <sup>-</sup>		
		TIL <sup>++</sup>	TIL <sup>-</sup>	TIL <sup>+</sup>	TIL <sup>-</sup>	
Benign nevi	40	14/14 (100)	0/14 (0)	4/26 (15)	22/26 (85)	<0.0001
Primary melanomas (in situ or invasive)	54	19/19 (100)	0/19 (0)	15/35 (43)	20/35 (57)	<0.0001
Metastases	56	23/24 (96)	1/24 (4)	7/32 (22)	25/32 (78)	<0.0001
All	150	56/57 (98)	1/57 (2)	26/93 (28)	67/93 (72)	<0.0001

Melanoma

Taube et al

\*Fisher's exact test, two-sided, was conducted on the 2 × 2 matrix defined by B7-H1 (±) expression and TIL (±) for each lesion type. †More than 5% melanocytes with membranous expression on IHC. ‡Including mild, moderate, and severe lymphocyte infiltrates and their associated histiocytes/macrophages.



\* Odds for benefit and quality of benefit

Biomarker 1\* for PD-1/PD-L1 pathway

Optimal anti-tumor response

Biomarker 3 for maximal effect – stop therapy, no further therapy

Biomarker 2

Sub-optimal anti-tumor response

Add therapy X to PD-1/PD-L1 blockade

No anti-tumor response

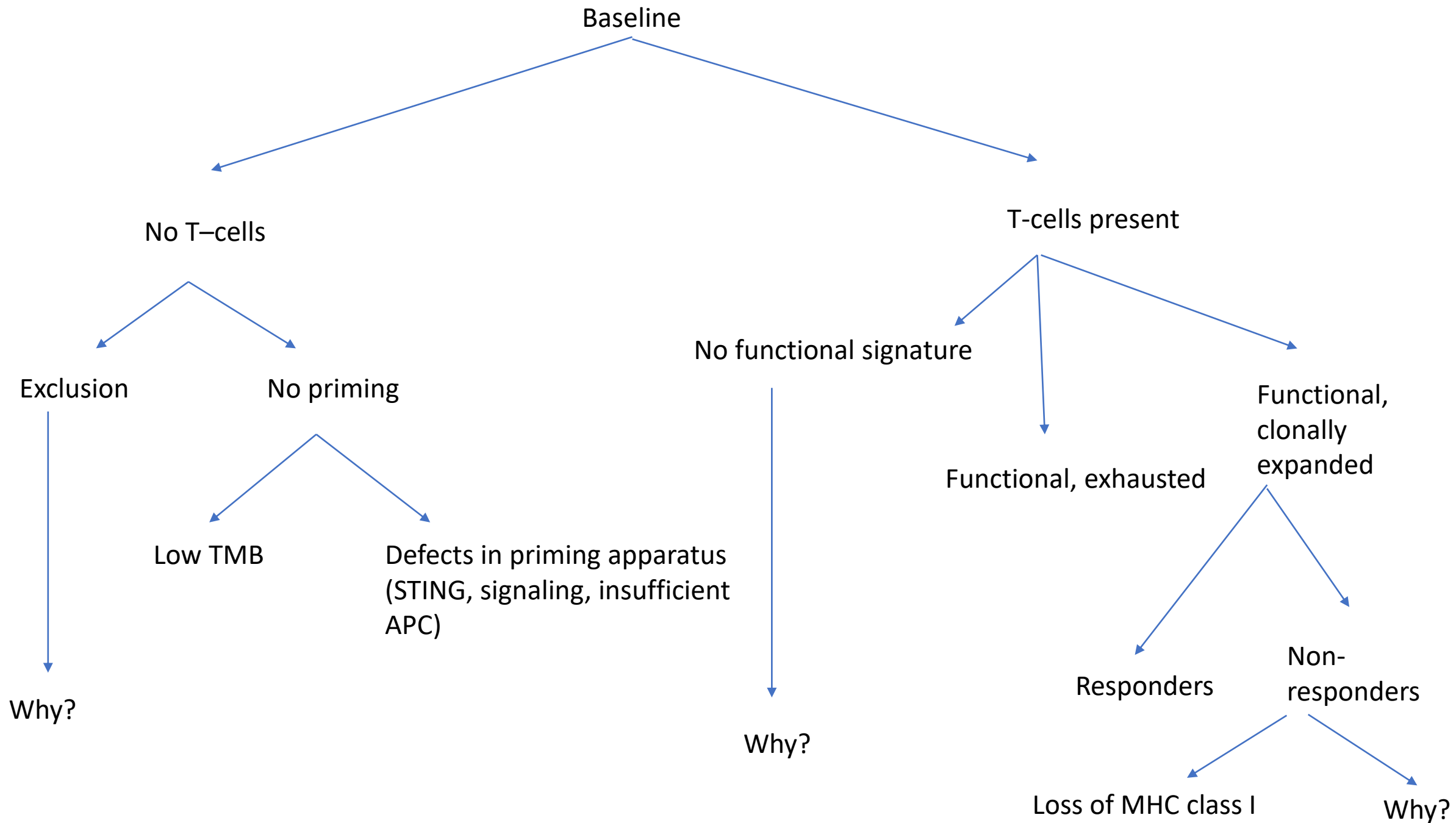
Add therapy X/Y/Z to PD-1/PD-L1 blockade

Immune therapy X/Y/Z without PD-1/PD-L1 blockade

Biomarker x1, x2, x3 for alternative therapies

Alternative non-immune therapy

Biomarker 1 and Biomarker 2 could be assessed early post-treatment



# Summary of Immune Checkpoint Inhibitor Non-Response or Resistance

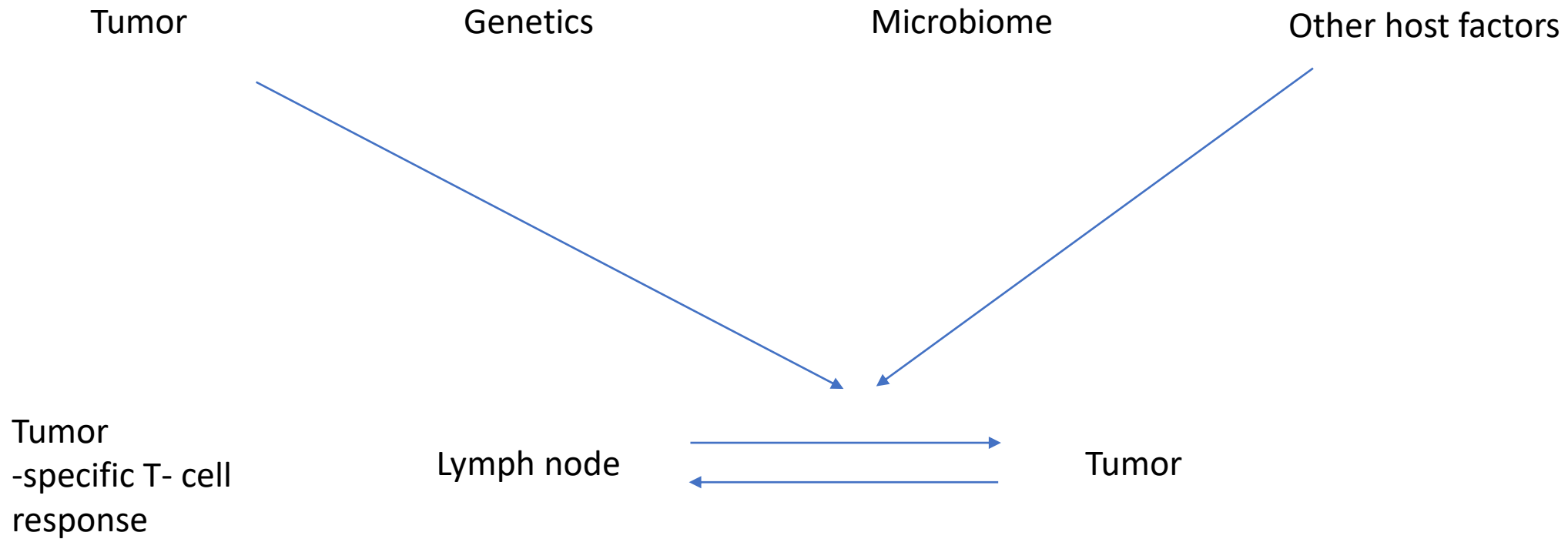
- Genetic component (HLA heterozygosity, other)?
- Low tumor mutation burden
- Lower microbiome diversity/presence or absence of bacterial species
- Increased/stabilized beta catenin
- STK11/LKB1 mutation
- Failure of Sting activation
- PTEN loss (dependent on VEGF)
- Increased VEGF
- Tumor Hypoxia
- IPRES signature/angiogenesis/ETM transition
- Increase in Myeloid cell signature
- Increased peripheral complement activation, wound healing, acute phase reactants
- Tumor/TME metabolism (glucose)
- *Induction of T-cell regulatory mechanisms (IDO, Tim-3, other immune checkpoints) or T-cell exhaustion*
- Increase in tumor DNA copy number loss (immune related genes) (Roh et al., Sci. Transl. Med. 9, March 2017)
- JAK mutations (IFN- $\gamma$  pathway signaling) (Zaretsky et al, NEJM)
- Beta-2 microglobulin/HLA loss

→ Priming – Minimal to no T-cell response

→ Exclusion/Traffic signals?  
Or lack of/inadequate activation of tumor APC

→ Feedback negative regulation +/- lack of additional agonist signals

→ Tumor cell or T-cell insensitivity



If response requires a component of new T cell influx into tumor, tumor microenvironment extrinsic factors may impact outcome of intervention

# Do Host\* Factors Play a Role in Response and Toxicity of anti-PD-1? Combined Analyses of Nivolumab in Metastatic Melanoma

**Table 2.** Impact of Treatment-Related Select AEs and IM Use on Response to Nivolumab Therapy

	All Patients (N = 576)	Any-Grade Treatment-Related Select AEs*				Grade 3 to 4 Treatment-Related Select AEs		Patients Receiving Systemic IM	
		Any (n = 255)	None (n = 321)	1-2 (n = 242)	≥ 3 (n = 13)	Yes (n = 18)	No (n = 558)	Yes (n = 114)	No (n = 462)
ORR, No. of patients (%)	181 (31.4)	124 (48.6)	57 (17.8)	113 (46.7)	11 (84.6)	5 (27.8)	176 (31.5)	34 (29.8)	147 (31.8)
95% CI	27.6 to 35.4	42.3 to 54.9	13.7 to 22.4	40.3 to 53.2	54.6 to 98.1	9.7 to 53.5	27.7 to 35.6	21.6 to 39.1	27.6 to 36.3
<i>P</i>		< .001		< .0001†	< .001†		1.00		.736

Abbreviations: AE, adverse event; IM, immune-modulating agent; ORR, objective response rate.

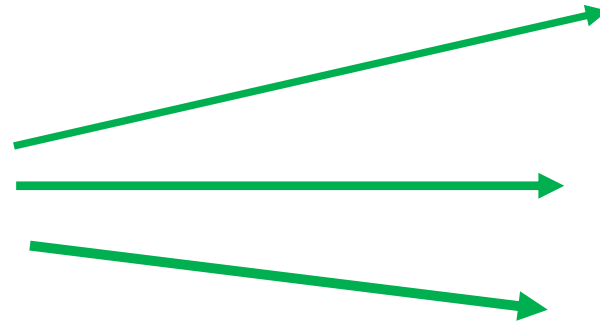
\*Data in these columns are for patients with the indicated numbers of any-grade treatment-related select AEs: any AE, no AEs, 1-2 AEs, and ≥ 3 AEs.

†Versus no treatment-related select AEs.

*J Clin Oncol 35:785-792. © 2016 by American Society of Clinical Oncology*

\*Genetic, Tumor-Induced Systemic Immune Modulation, Other Systemic Immune Modulation

Create new tumor-specific T-cells or enhance in vivo Ag presentation

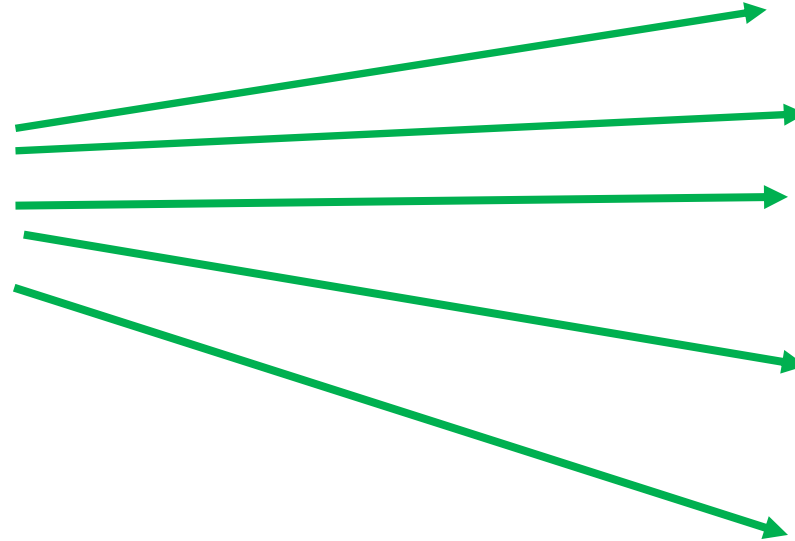


Anti-CD40, FLT3, TLR agonists

STING agonists, **T-VEC**, Other oncolytic viruses, Vaccines, **Chemotherapy**, Targeted agents, Epigenetic Modifiers, MEKi

Adoptive Transfer: **CAR-T**

Expansion and Increase Function of Ag-specific T cells



CTLA-4, others

Enhancing TCR signaling

Transcription factor agonists

**Cytokines and Modified Cytokines**

Co-stimulatory Agonists – **4-1BB**, OX-40, GITR, ICOS, CD27

Adoptive Transfer: TIL, **CAR-T**

Co-opt non-specific TIL



**Activate with TCR-CD3 Constructs (CEA, gp100)**

Checkpoints within tumor



**CTLA-4, LAG3**, TIM3, TIGIT, B7-H3, B7-H4, PD-1H (Vista), CD200, CEACAM1, **KIR**

MDSC/TAMS



**HDACi**, MER-TKi, CCR2i, CSF-1Ri, CD40, CKITi, ibrutinib, Anti-CD47 ('Don't Eat Me Signals'), SIGLECs

Treg



Anti-CCR4, **anti-CTLA-4, anti-CD25**

Inhibitory Cytokines



Antibodies and small molecule inhibitors of TGF-beta or its receptors

Hypoxia/Adenosine



**Adenosine 2AR inhibitors**  
Anti-CD39, anti-CD73

Metabolic Inhibitors and Prostaglandins



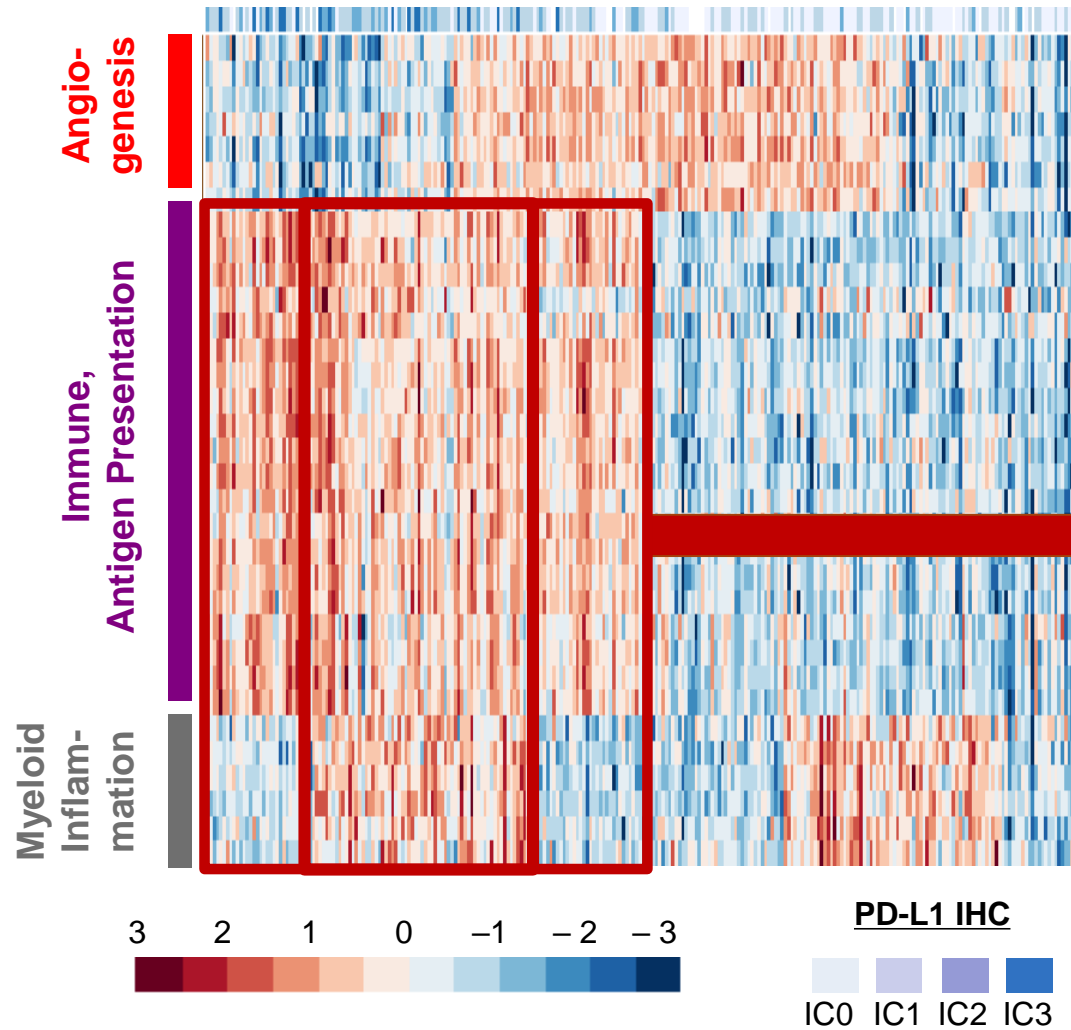
**IDO inhibitors**, Cox2 inhibitors, modulators of tumor/T-cell glucose consumption (PPAR-alpha inhibitors)

Barriers to T-cell infiltration



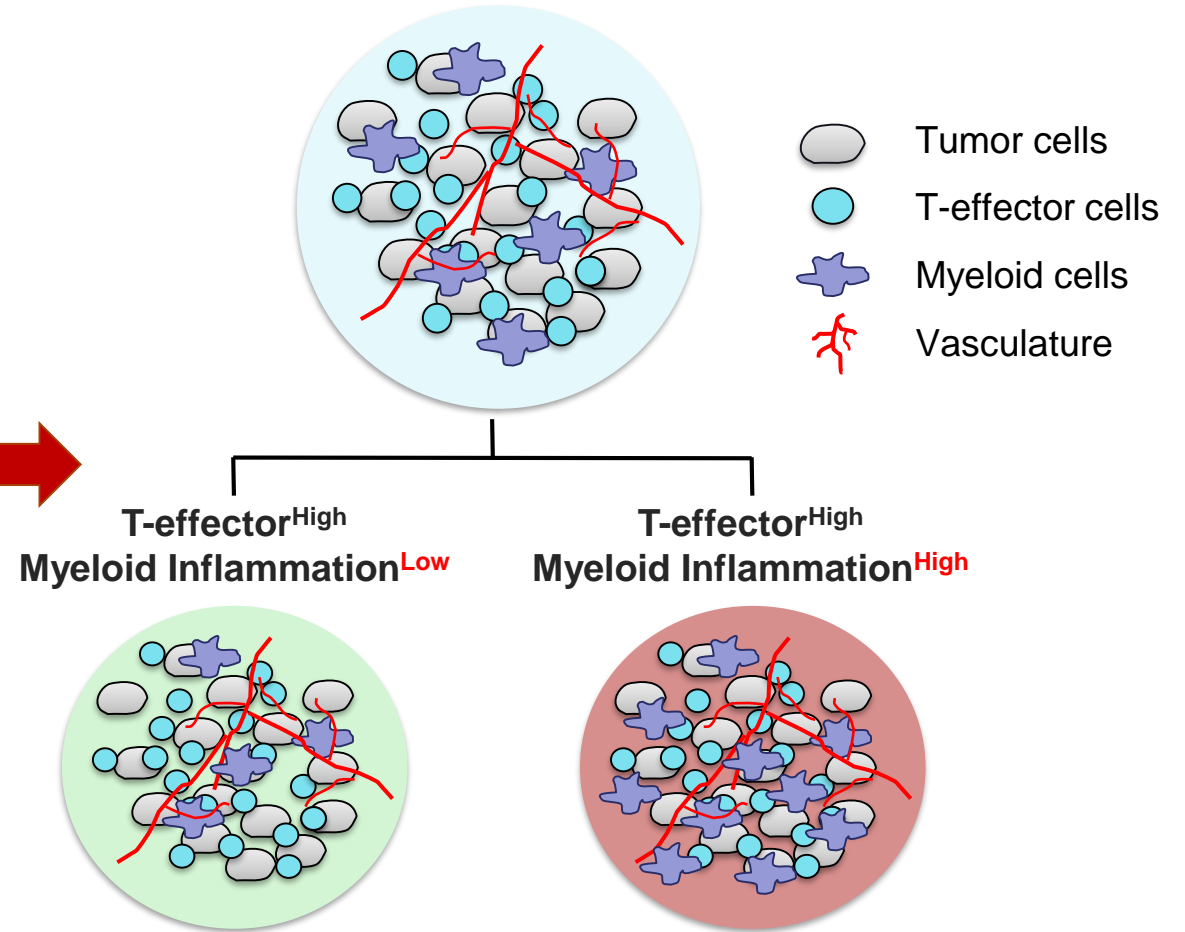
**Anti-VEGF**, anti-SEMA-4D, anti-CTLA-4

# Transcriptome Map of Angiogenesis and Immune-Associated Genes in RCC Tumors



PD-L1 IHC

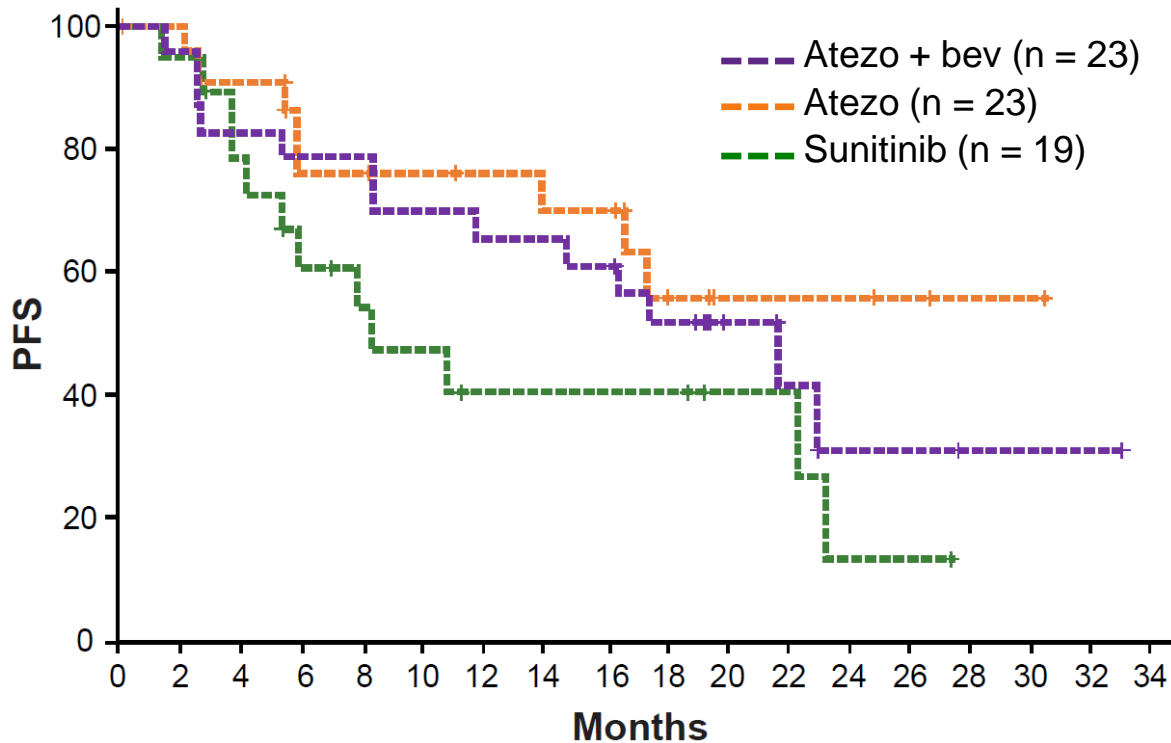
## T-effector<sup>High</sup> Subpopulation



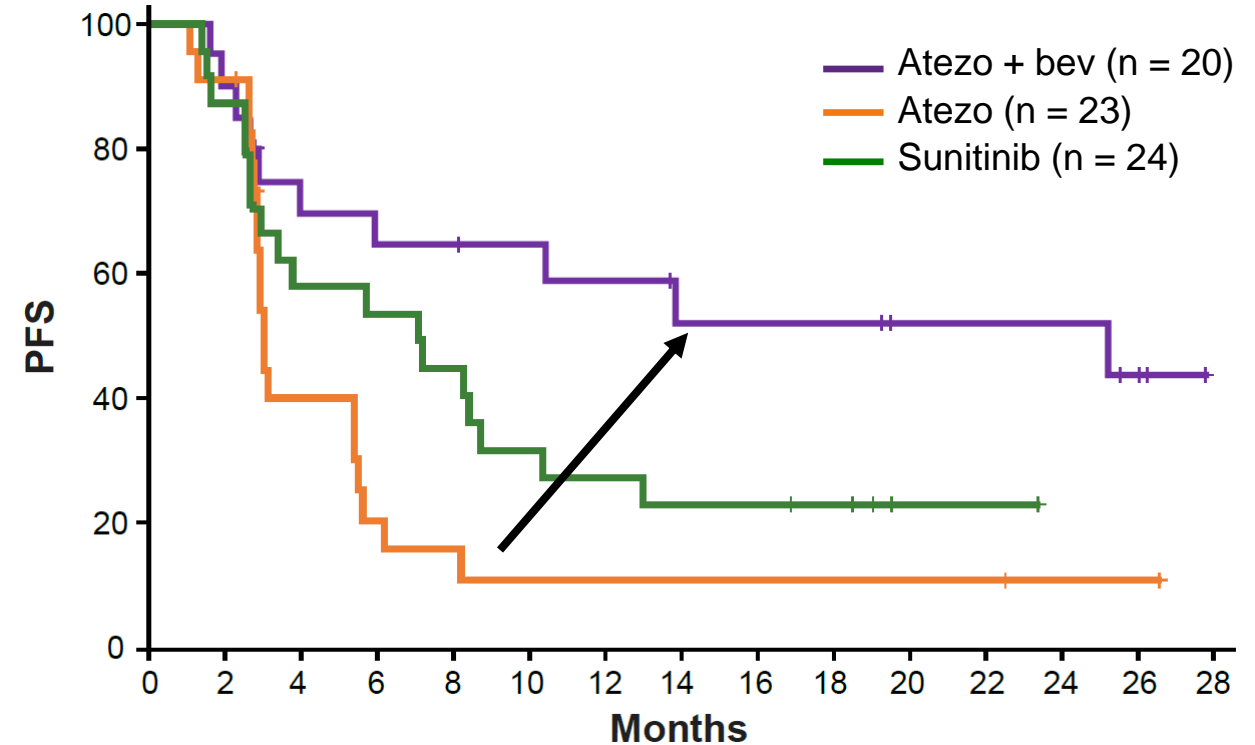
1. Brauer, *Clin Cancer Res.* 2012; 2. Herbst, *Nature* 2014; 3. Powles, *SITC* 2015; 4. Fehrenbacher, *Lancet* 2016. McDermott, *AACR* 2017.

# Addition of Bevacizumab to Atezolizumab in 1L Was Associated With Improved Benefit in T-Effector<sup>High</sup> Myeloid Inflammation<sup>High</sup> Subgroup

T-effector<sup>High</sup> Myeloid Inflammation<sup>Low</sup>



T-effector<sup>High</sup> Myeloid Inflammation<sup>High</sup>

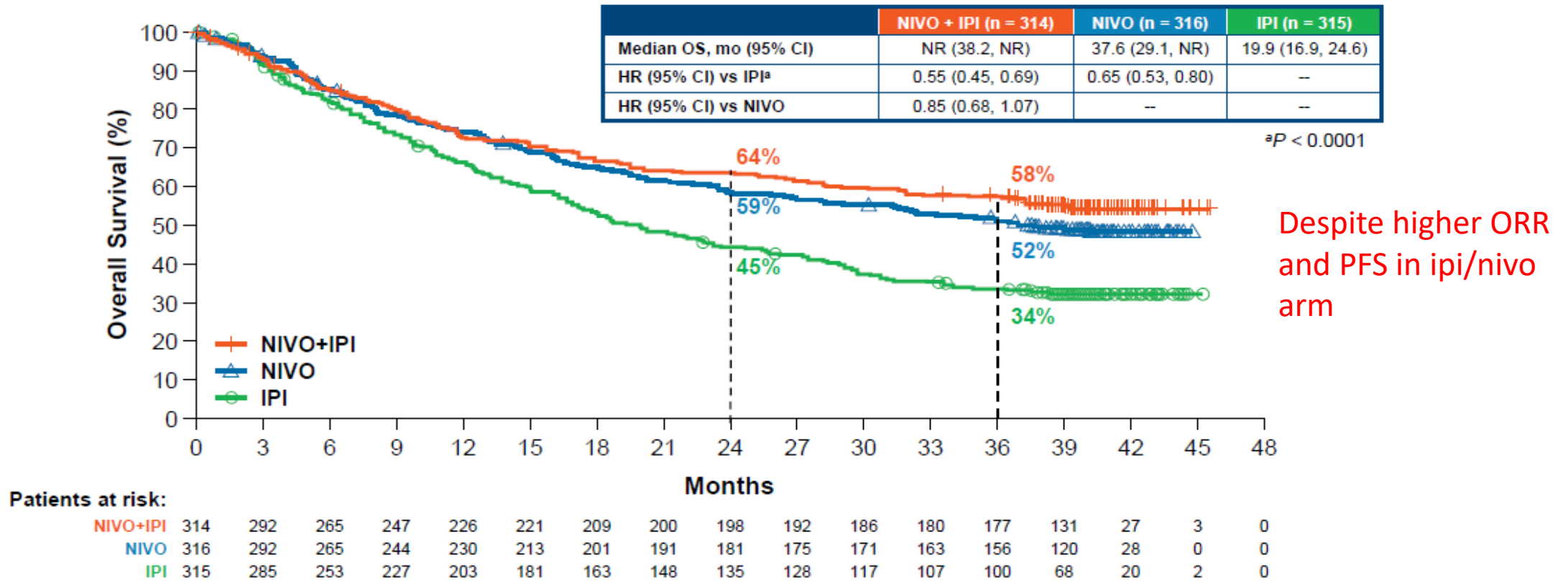


PFS measured by independent review facility.  
 T-effector gene signature: *CD8A, EOMES, PRF1, IFNG, CD274*.  
 High, ≥ median expression; low, < median expression. McDermott, AACR 2017.

**No effect of Atezo + bev over Atezo alone in Teff-low groups**

# CA209-067 – Ipi/Nivo or Nivolumab vs. Ipilimumab

## Figure 1. OS (Intent-to-Treat)<sup>1</sup>

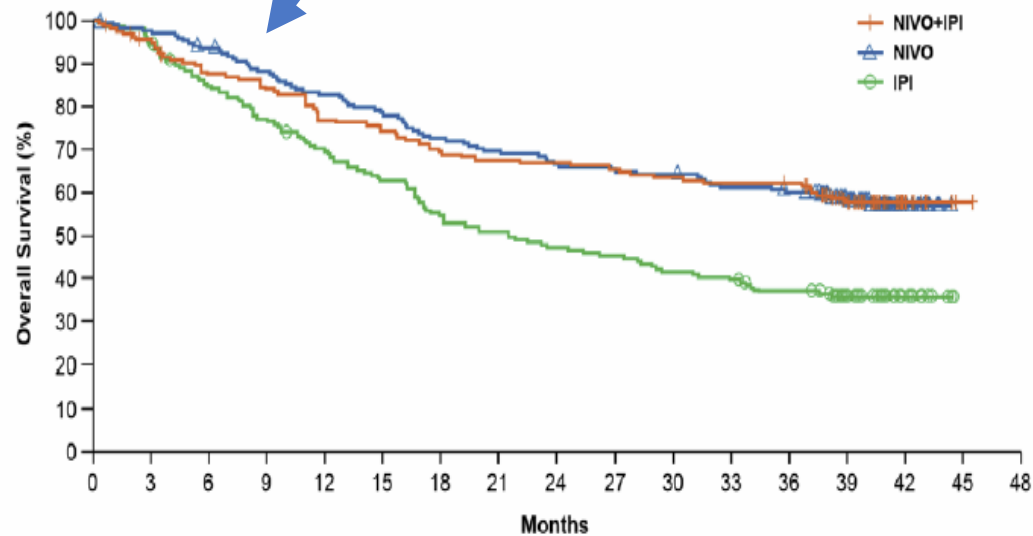


1. Wolchok JD et al. *N Engl J Med*. In press.

# Post-hoc analyses of survival by PD-L1 status

Supplement to: Wolchok JD, Chiarion-Sileni V, Gonzalez R, et al. Overall survival with combined nivolumab and ipilimumab in advanced melanoma. *N Engl J Med* 2017;377:1345-56. DOI: 10.1056/NEJMoa1709684

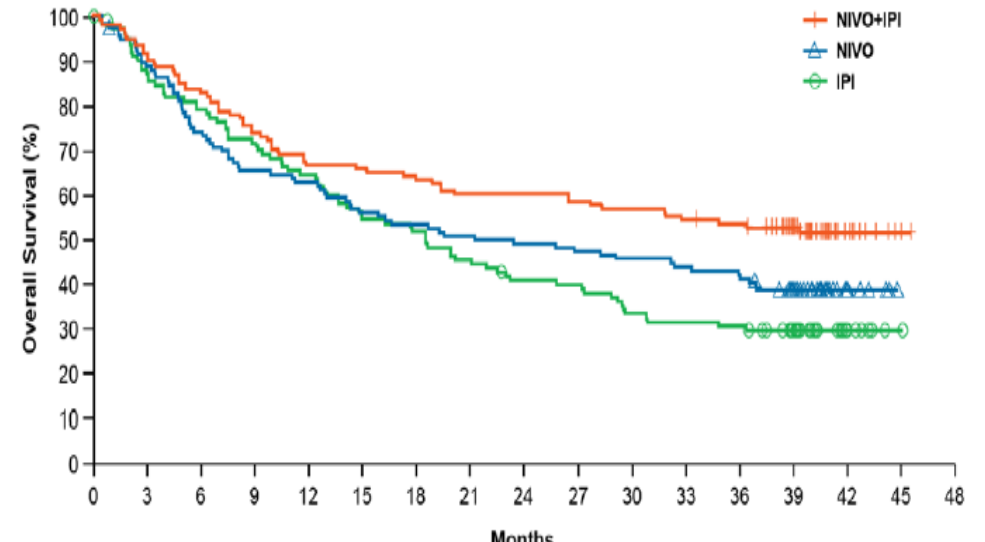
(C) PD-L1 expression level  $\geq 1\%$



Patients at risk:

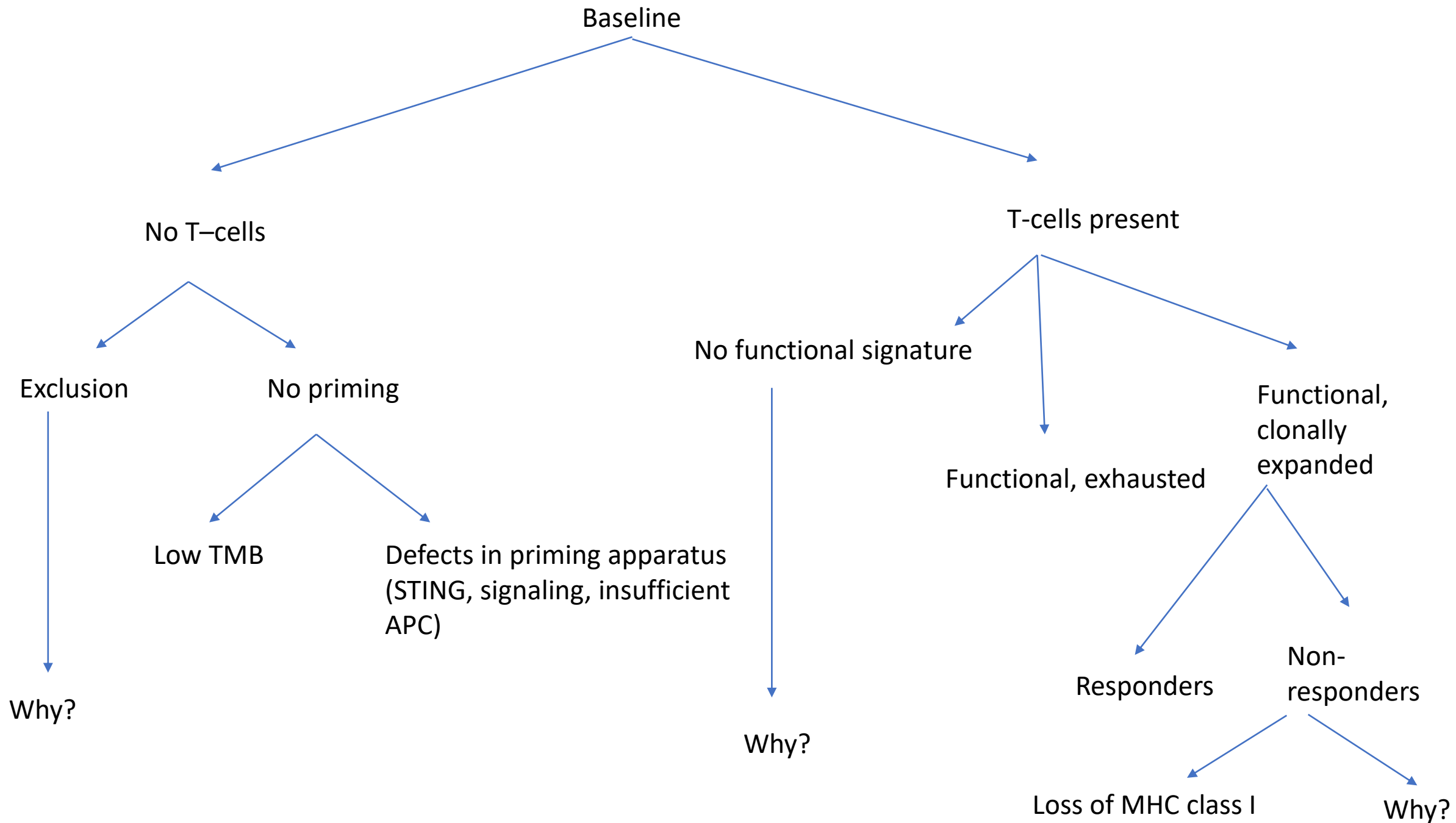
	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48
NIVO+IPI	155	144	132	127	116	112	105	102	101	99	96	94	93	66	14	1	0
NIVO	171	165	156	148	139	131	122	117	112	109	108	102	99	76	18	0	0
IPI	164	155	137	125	113	101	88	82	76	73	67	64	58	38	10	0	0

(D) PD-L1 expression level  $< 1\%$



Patients at risk:

	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48
NIVO+IPI	123	113	102	91	82	82	79	74	74	72	70	67	65	50	11	2	0
NIVO	117	103	86	76	73	65	62	59	57	55	53	51	49	37	7	0	0
IPI	113	96	87	79	71	61	57	50	44	43	36	34	33	24	8	1	0



# Endpoint Dilemma – Hypothesis

- ORR and PFS possibly more predictive comparing immune therapy to non-immune therapy
  - But OS benefit may be seen absent improvement in ORR and PFS (durable response)
- ORR and PFS may be less useful comparing immune therapy to immune therapy, particularly when adding to anti-PD-1/PD-L1
  - Increase in PFS and ORR (in patients already receiving ‘some’ anti-tumor benefit) may not contribute to OS, at least in short-term
  - Short term OS increment may be seen only from ‘converting’ subset with no anti-tumor effect (primary resistance?)

# Clinical Challenges - 1

- Optimal predictive biomarkers for anti-PD-1
- Mechanisms of non-response and resistance in T-cell inflamed tumors
  - Precise characterization of tumor Ag specific response
  - Rapid characterization of mechanisms in individuals
  - Defining true clinical resistance
- Mechanisms of non-response and resistance in T-cell infiltrated non-inflamed tumors
  - Co-opting activity of non-specific T cells
  - Other (non-PDx pathway) mechanisms of T-cell inhibition
- Addressing the cold (no T-cells) tumor
  - Mechanism
  - Inducing Ag-specific T cell response
  - Promoting T-cell infiltration
  - *Harnessing innate immunity*
- Biomarker '2' for combination strategy
  - Detecting clinical signal of activity

# Anti-PDx Resistance and Subsequent Signal Detection

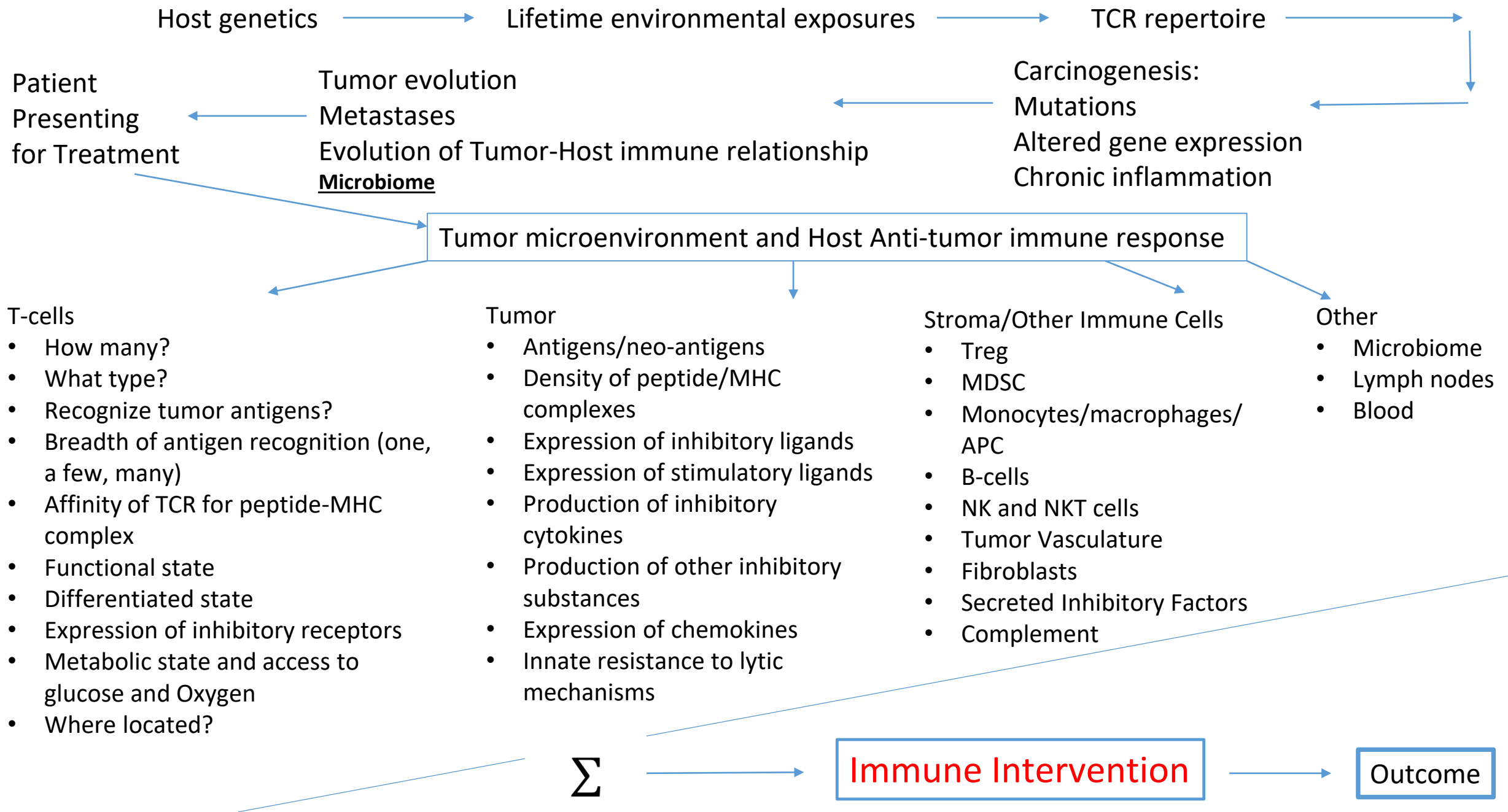
- Progression while on anti-PD-1 in single or oligoclonal sites amenable to surgery/RT does not equal loss of benefit/resistance
- Mixed response early in treatment should not equate to PD/resistance unless progression is confirmed on subsequent scan 4-8 weeks later
- Progression in multiple sites while on drug and  $\geq 12$  weeks from starting Rx likely signifies true PD/drug resistance
- In patients with prior PR/CR/SD (with tumor regression), progression after drug holiday of  $> 4-6$  months may not signify true resistance
- If prior response to ipi/nivo, progression on anti-PD-1 alone does not signify resistance to ipi/nivo
- Use progressing lesions only when assessing response to new agent or new agent + anti-PDx after declaring anti-PDx resistance

# Clinical Challenges -2

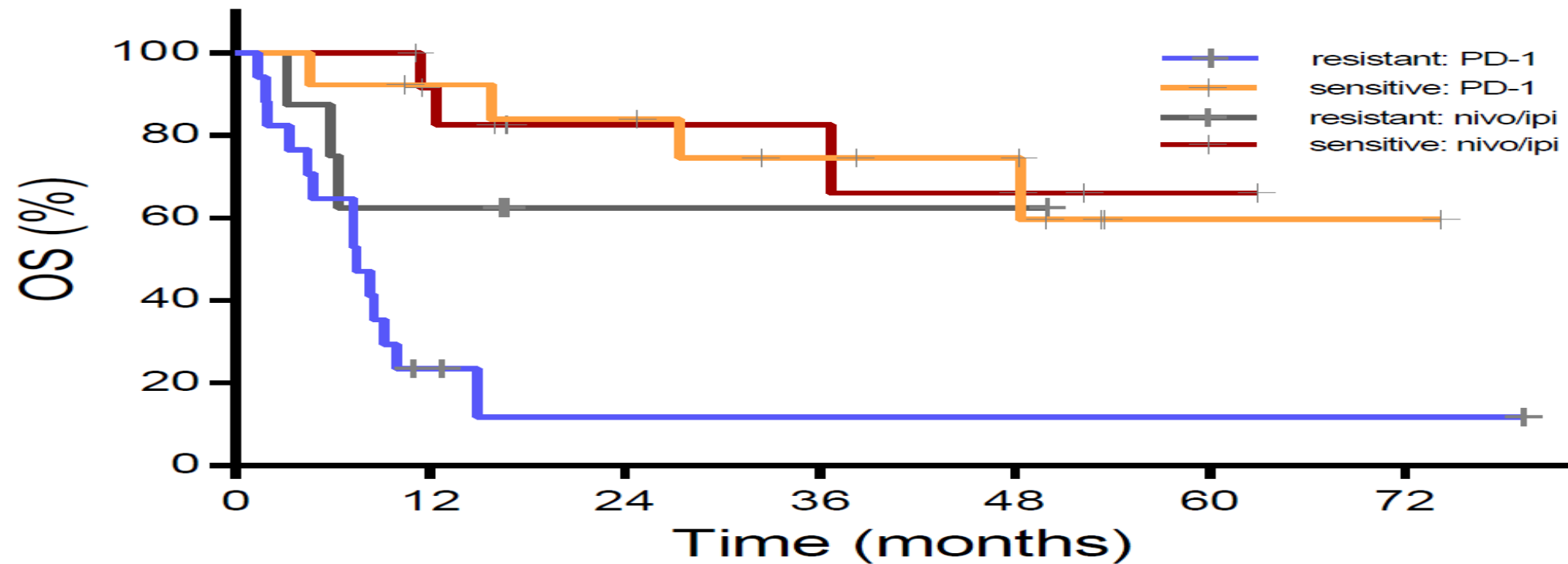
- Managing tumor microenvironment heterogeneity
- Optimal surrogate clinical endpoint for long-term survival/survival benefit
- Prognostic and predictive biomarkers in the adjuvant setting
- Specificity of drug delivery (targeting immune modulator to tumor)
- Library of tumor-specific cell surface targets
- Cost-effective T-cell engineering and cell therapies
  - universal T-cell, targeting, and function, and control
- Prediction and management of toxicity
- Cost and access

# Scientific Challenges

- In depth individualized characterization of host immune – tumor relationship
  - Assessing the tumor Ag-specific immune response
- Critical thresholds for effective anti-tumor immune response
- T-cell subset biology and contribution to anti-tumor effect
- Critical immune suppressive pathways within tumor and extrinsic to microenvironment
- Biology of immune cell (T-cell, NK, other) traffic and tumor exclusion
- Features, evolution and dynamics of immune modulator perturbed state (early on-treatment changes)
- Managing tumor microenvironment heterogeneity

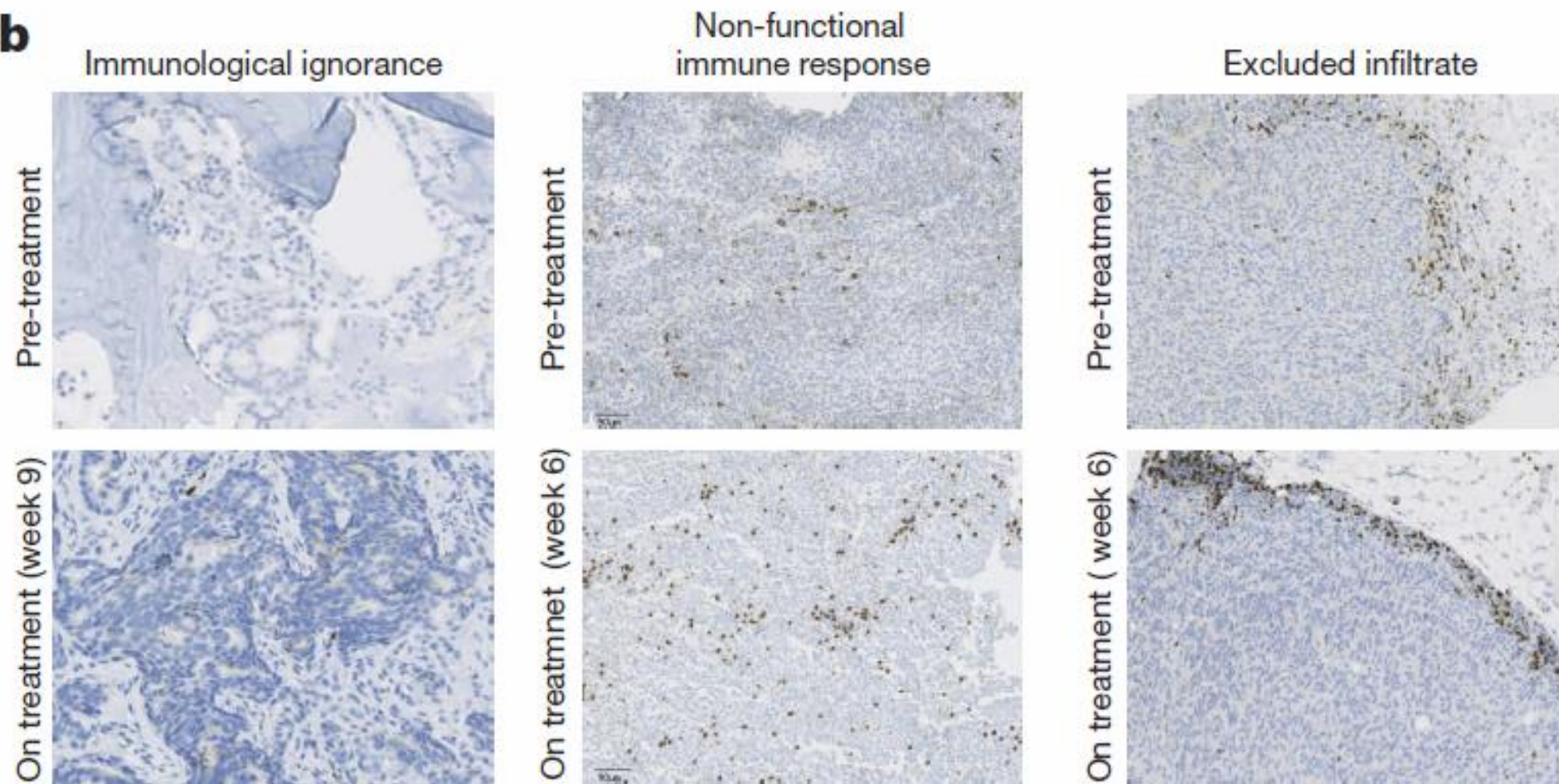


Serum Protein Signature Based on MALDI-TOF Spectrometry Associated with Outcome After anti-PD1 Therapy in Metastatic Melanoma – Presented by Weber et al, ITOC-4, March 21, 2017



No. at risk

— (orange)	13	11	10	7	6	1	1
— (blue)	17	3	1	1	1	1	1
— (red)	13	10	5	5	4	1	0
— (grey)	8	5	1	1	1	0	0

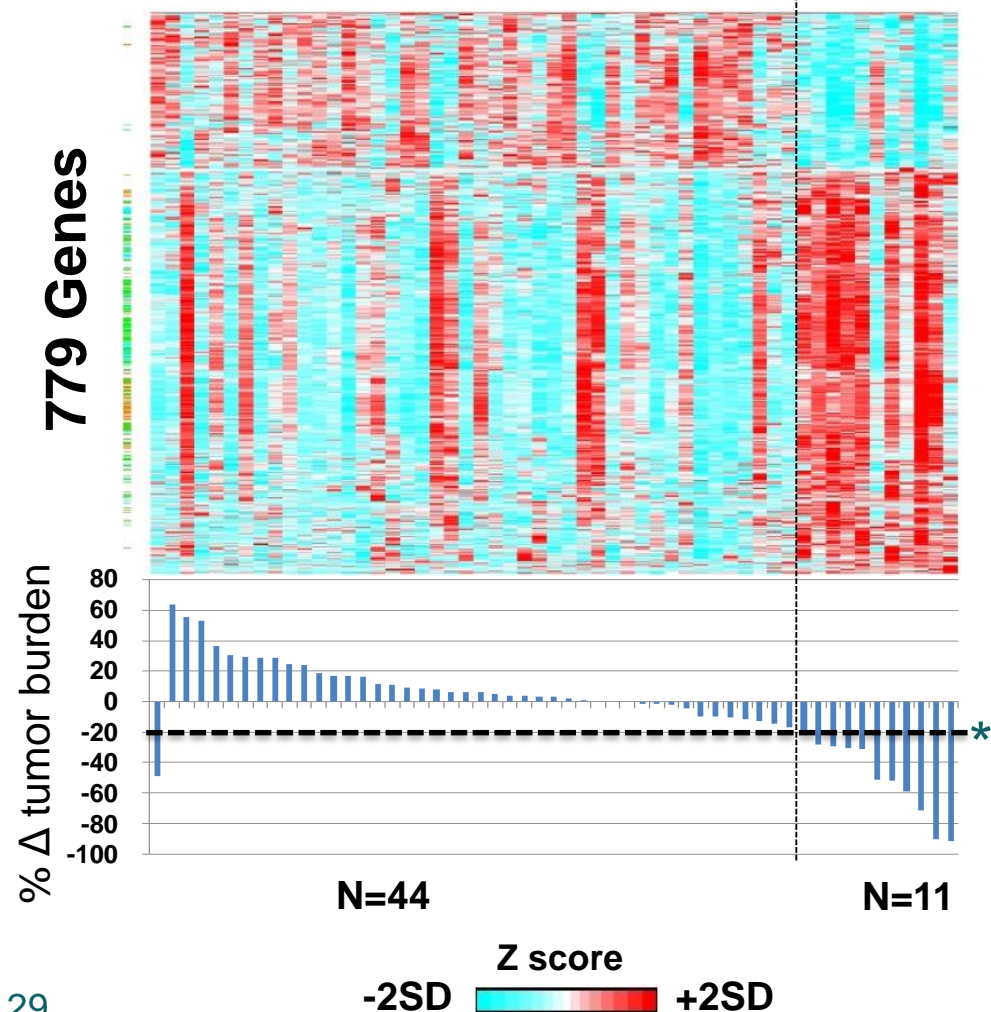
**b**

# Predictive correlates of response to the anti-PD-L1 antibody MPDL3280A in cancer patients

Roy S. Herbst<sup>1</sup>, Jean-Charles Soria<sup>2</sup>, Marcin Kowanetz<sup>3</sup>, Gregg D. Fine<sup>3</sup>, Omid Hamid<sup>4</sup>, Michael S. Gordon<sup>5</sup>, Jeffery A. Sosman<sup>6</sup>, David F. McDermott<sup>7</sup>, John D. Powderly<sup>8</sup>, Scott N. Gettinger<sup>1</sup>, Holbrook E. K. Kohrt<sup>9</sup>, Leora Horn<sup>10</sup>, Donald P. Lawrence<sup>11</sup>, Sandra Rost<sup>3</sup>, Maya Leabman<sup>3</sup>, Yuanyuan Xiao<sup>3</sup>, Ahmad Mokatrini<sup>3</sup>, Hartmut Koeppen<sup>3</sup>, Priti S. Hegde<sup>3</sup>, Ira Mellman<sup>3</sup>, Daniel S. Chen<sup>3</sup> & F. Stephen Hodi<sup>12</sup>

# CA209-009 – Nivo/RCC- Genes association with tumor burden reduction: On treatment

On treatment (n = 55)



## Lower expression

- Cellular component organization ( $P < 10^{-18}$ )
- Signaling ( $P < 10^{-16}$ )
- Downregulated by ipilimumab in melanoma<sup>1</sup> ( $P < 10^{-5}$ )

## Higher expression

- Upregulated by ipilimumab in melanoma<sup>1</sup> ( $P < 10^{-135}$ )
- Immune system (188;  $P < 10^{-82}$ )
- **Known Myeloid lineage (51):** e.g. CD68, CD86, CASP1, CSF3R
- **Known Lymphoid lineage (>65):** TCR $\alpha/\beta$ , CD3D, CD8A, CD28
- **Cytolytic function:** KLRG1, granzymes, PRF1
- **Immune checkpoint molecules:** TIGIT, CTLA-4, PD-L2, IL10RA

\* 20% reduction in tumor burden

**Bold:** denotes Gene Ontology Process

1. Ji RR, et al. *Cancer Immunol Immunother* 2012;61:1019–31.